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JC685  
U.S. PTO

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PTO/SB/05 (08-00)  
Approved for use through 10/31/2000. OMB 0651-0032  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>  (Only for new nonprovisional applications under 37 CFR 1.53(b))		Attorney Docket No.	HS0001
		First Inventor	Kyu Dong KIM
		Title	Chief Technology Officer
		Express Mail	

### APPLICATION ELEMENTS

See MPEP Chapter 600 concerning utility patent application contents.

### ADDRESS TO:

Assistant commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☐ Fee Transmittal Form (PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages ☒ ]  
(preferred arrangement set forth below)  
-Descriptive title of the invention  
-Cross reference to Related Applications  
-Statement Regarding Fed Sponsored R & D  
-Reference to sequence listing, a table,  
or a computer program listing appendix  
-Background of the Invention  
-Brief Summary of the Invention  
-Detailed Description  
-Claim(s)  
-Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets ☒ ]
5. ☐ Oath or Declaration [Total Pages ☐ ]  
a. ☐ Newly executed (original or copy)  
b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuational divisional with Box 17 completed)  
i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)  
a. ☐ Computer Readable Form (CRF)  
b. Specification Sequence Listing on:  
i. ☐ CD-ROM or CD-R (2 copies); or  
ii. ☐ paper  
c. ☐ Statements verifying identity of above copies

### ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of  
(when there is an assignee) Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information disclosure ☐ Copies of IDS  
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Other: .....

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. /

Prior application information: Examiner Group I Art Unit:

**For CONTINUATION OR DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

### 18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label 22930 or ☐ Correspondence address below

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NAME (Print/Type)	Paul Jeong	Registration No. (Attorney/Agent)	HS0001
Signature		Date	11/30/00

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.



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FORM PTO-1082

Attorney Docket No. HS0001

ASSISTANT COMMISSIONER FOR PATENTS

Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): Kyu Dong KIM

Young Hoon KIM

Byung Kwon KWAK

Gab Youl LIM

For: **System and Method for Automating a Process of Business Decision and Workflow**

Enclosed are:

- ☒ 22 sheets of drawings. (Figs. 1- 22, inclusive)
- ☐ An assignment of the invention to \_\_\_\_\_.
- ☐ Form PTO-1595.
- ☐ A certified copy of
- ☐ An associate power of attorney.
- ☐ A verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27.
- ☐ Executed Power of Attorney from Assignee.
- ☐ Executed Declaration for Patent Application.

The filing fee has been calculated as shown below:


(Col. 1)		(Col. 2)		SMALL ENTITY		OR	OTHER THAN A SMALL ENTITY	
FOR	NO. FILED	NO. EXTRA		RATE	FEE		RATE	FEE
BASIC FEE					\$ 380.00	OR		\$ 710.00
TOTAL CLAIMS	43	-20 =	* 23	x 9 =		OR	x 18 =	\$ 414.00
INDEP. CLAIMS	2	-3 =	* 0	x 39 =		OR	x 78 =	
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED				+ 130 =		OR	+ 260 =	
				TOTAL		OR	TOTAL	\$ 1124.00

\*If the difference in Col. 1 is less than zero, enter "0" in Col. 2

- ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_. A duplicate copy of this sheet is attached.
- ☐ A check in the amount of \$\_\_\_\_\_ to cover the filing and recordation fees is enclosed.
- ☐ The U.S. Patent and Trademark Office is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 08-3038. A duplicate copy of this sheet is attached.



- The U.S. Patent and Trademark Office is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. \_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- Any patent application processing fees under 37 CFR 1.17
- The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).
- Any filing fees under 37 CFR 1.16 for presentation of extra claims.

  
Inventor Young Kim

November 30, 2000

*Box Patent Application*

**BY HAND DELIVERY**



Assistant Commissioner for Patents  
Washington, D.C. 20231

Re: Non-Provisional Utility Patent Application  
Application No.: To be Assigned; Filed: November 30, 1999  
For: **System and Method for Automating a Process of Business Decision  
and Workflow**  
Inventor(s): Kyu Dong KIM  
Young Hoon KIM  
Byung Kwon KWAK  
Gab Youl LIM

Our Ref: HS0001

Sir:

The following documents are forwarded herewith for appropriate action by  
the U.S. Patent and Trademark Office:

1. Utility Patent Application Transmittal Form;
2. Fee Transmittal Form 1082 (duplicate); and
3. U.S. Utility Patent Application entitled:

**System and Method for Automating a Process of Business Decision and  
Workflow**

and naming as inventor(s):

Kyu Dong KIM  
Young Hoon KIM  
Byung Kwon KWAK  
Gab Youl LIM



the application consisting of:


- a. a specification containing:
    - (i) 20 pages of description prior to the claims;
    - (ii) 6 pages of claims (23 claims); and
    - (iii) a one (1) page abstract;
  - b. 13 sheets of drawings: (Figs. 1 - 13, inclusive);
4. two (2) return postcards.

It is respectfully requested that, of the two attached postcards, one be stamped with the filing date of these documents and returned to our courier, and the other, prepaid postcard, be stamped with the filing date and unofficial application number and returned as soon as possible.

This patent application is being submitted under 37 C.F.R. § 1.53(b) without Declaration and without filing fee.

Respectfully submitted,

Inventor

  
Young Kim

Enclosures